

## Please fill this form in BLOCK LETTERS

## 1. Name of Applicant

[illegible][illegible]

3. Gender ☐ Male ☐ Female    B. Martial status    ☐ Single    ☐ Married    C. Date of Birth    

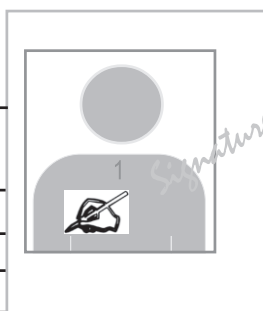
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[illegible]

5(A). PAN									
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(B) Aadhaar Number, if any:

6. Proof of Identity submitted								
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### 1. Address of Correspondence

[illegible]

## 2. Contact Details

[illegible]

Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick against the document attached.

☐ Passport ☐ Ration card ☐ Registered Lease/Sale Agreement of Residence ☐ Driving License ☐ Voter Identity Card ☐ Latest Bank A/c Statement/Pass book ☐ \*Latest Telephone Bill (only Land Line) ☐ \*Latest Electricity Bill ☐ \*Latest Gas Bill ☐ Others (please specify) \_\_\_\_\_

## 3. Permanent Address (If different from above address)

[illegible]

**Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick against the document attached.**

☐ Passport ☐ Ration card ☐ Registered Lease/Sale Agreement of Residence ☐ Driving License ☐ Voter Identity Card ☐ \*Latest Bank A/c Statement/Pass book ☐ \*Latest Telephone Bill (only Land Line) ☐ \*Latest Electricity Bill ☐ \*Latest Gas Bill ☐ Others (please specify) \_\_\_\_\_

**SIGNATURE OF APPLICANT**

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

Date:.....

☐ Originals Verified and Self Attested Document copies received

Name of authorised signatory.....

Sign of authorised signatory .....

Date:.....

Seal/Stamp of Intermediary

## PART II OTHER DETAILS

### 1. BANK ACCOUNT DETAILS




Bank Name: ..... Branch : .....  
 Branch Address : .....  
 Bank Account Number: ..... Account Type: SB ☐ CA ☐  
 MICR Code           IFSC Code

### 2. DEMAT ACCOUNT DETAILS: (In case the client doesnot have DP account, this column may be crossed)

☐ CDSL DP Name: ..... DP ID          
☐ NSDL BO Name: ..... BO ID

3. Whether DP account is also to be opened with the same intermediary? Yes ☐ No ☐

### 4. Trading Preferences: Please sign the relevant boxes where you wish to trade

EXCHANGE	NSE	BSE	MCX-SX
SIGN	3(i) 	3(ii) 	3(iii) 

### 5. Mode of receiving contract note/statement of account:

Physical ☐ Electronic ☐ E-Mail Id: .....

6. Standing instruction to receive credits automatically into my BO account Yes ☐ No ☐

### 7. Nomination Details

Name of the Nominee: ..... PAN No          
 Address: .....  
 City/Town/Village: ..... Pin Code: ..... State: ..... Country: .....  
 Email ID: ..... Phone No: .....  
 Relationship with the Nominee : .....

#### If the nominee is a minor , details of the Gaurdian

Name of the Gaurdian: .....  
 Address: .....  
 City/Town/Village: ..... Pin Code: ..... State: ..... Country: .....  
 Email ID: ..... Phone No: .....  
 Relationship with the Minor: ..... Signature of the Gaurdian.....

I have understood the contents of the policy & procedures document, tariff sheet, 'Rights & Obligations' document and 'Risk Disclosure' Document. I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for information on stock broker's designated website.

Date:.....

Signature of the applicant :.....

**FOR OFFICE USE ONLY**

UCC Code allotted to the Client: -----

<input type="checkbox"/> CDSL	DP Name: .....	DP ID	<input type="text"/>
<input type="checkbox"/> NSDL	BO Name: .....	BO ID	<input type="text"/>

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

I / We undertake that I/we have made the client aware of 'Policy and Procedures', tariff sheet. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

If the client chooses to avail the demat facility from the same stock broker who is also a depository participant, the stock broker may use the same form and provide the details of the demat account opened for the said client to the client while providing a copy of the KYC documents.

**Signature of the Authorised Signatory**
**Date .....**
**Seal/Stamp of the stock broker**

**NOTE:** This form is applicable for individual investors trading the cash segment. If such investors wish to trade in segments other than cash segment and / or wish to avail facilities such as internet trading, running account, margin trading, Power of Attorney etc., they may furnish additional details required as per prescribed regulations to the concerned intermediary.